

Multi-sensor observations of atmospheric transient signals associated with large earthquakes

Dimitar Ouzounov¹ and Patrick Taylor²

¹Center of Excellence in Earth Systems Modeling & Observations (CEESMO), Chapman University, Orange, California

²NASA Goddard Space Flight Center, Greenbelt, Maryland

[Subject/statement of problem] ~~To determine if there are measurable atmospheric signals preceding large earthquakes.~~ The most recent catastrophic earthquakes (2004 Sumatra, 2008 China, 2011 Japan, and 2015 Nepal) claimed thousands of lives and caused extensive economic losses. Five years after the 2011 Tohoku, Japan Great Earthquake (M9.0), the international science community is still seeking solutions for the early detection of major seismic events ~~that would in order to~~ minimize the loss of life. ~~Multiple observations of earthquake precursory signals have previously been reported. The recent analyses of data from multi-instrument space-borne and ground observations have provided evidences for the existence of pre-earthquake atmospheric signals. (Hayakawa, 2012.; Tramutoli et al., 2015). These are some As This is only an initial efforts. Full implementation will require since this is a global search and, therefore, will require global satellite monitoring.~~

[Background] The search for pre-seismic signals has been conducted for many years (e.g., Martinelli, 1998). Multiple observations of earthquake precursory signals have previously been reported. Recent analyses of data from multi-instrument space-borne and ground observations have provided evidence for the existence of pre-earthquake atmospheric signals (Hayakawa, 2012; Tramutoli et al., 2015). ~~W In this current study, we are currently~~ investigating the link between satellite-recorded abnormal atmospheric signals prior to large earthquakes. These studies would have an impact on our understanding of the physics of earthquakes and the phenomena that precedes their energy release. The recent advances in earth observing space technology have helped to advance the scientific understanding of the nature of pre-earthquake phenomena in the atmosphere

[Objective(s)] We are searching for pre-seismic observations, which might give warning of a major earthquake. Our investigation is based on a possible connection between satellite observations of anomalous atmospheric thermal transient signals prior to major earthquakes. The latest NPOESS (National Polar-orbiting Operational Environmental Satellite System) and NASA EOS (Earth Observing System ~~atellite~~) have space-borne sensors that provide a unique opportunity for ~~observing-making~~ observations that could determine if there is a relationship between a lithosphere-atmosphere interaction which might lead to an earthquake hazard reduction.

[Previous work] ~~Initially comparing~~ Satellite thermal infrared (TIR) imagery recorded by AVHRR (Gorny et al., (1988); Tronin et al., (2002); ~~and~~ Dey et al., (2004)) ~~have has~~ been used to develop a simple approach ~~of~~ analysis, that is, comparing before and after images over the epicenter of the

earthquake. ~~With a~~ Utilizing both polar orbiting and geosynchronous satellite observations, new techniques have been proposed to use sub-pixel level co-registration and geo-referenced imagery data from GOES, Meteosat, AVHRR, and Landsat (Bryant et al., 2003; Di Bello et al., 2004). One of the main problems in detecting TIR anomalous signals is defining abnormal and normal TIR fluctuations. To address this problem, we developed a new approach using a time series of TIR data over earthquake prone regions, and based on pixel thermal radiation variance from previous established base lines that would identify allow identification of anomalous TIR signals (Tramutoli et al., 2001, Filizzola et al., 2004, Cervone et al., 2006, Ouzounov et al, 2007). After the launch of the EOS satellites (1999-Terra and 2002-Aqua), a new approach, based on a multi-spectral IR component, has been tested, based on Land Surface Temperature (LST) ~~for~~ derived from the 11-micron data (Ouzounov and Freund, 2004). ~~Latest~~ The latest observations with NPOESS and AIRS of atmospheric environmental parameters revealed an increase in radiation and a transitional change in Outgoing Longwave Radiation (OLR) in the 8-12 microns range (Ouzounov et al., 2007). OLR transitional changes recorded at the TOA (top of the atmosphere) over seismically active regions were proposed to be related to thermodynamic processes within the earth's crust that processed the earthquakes (Ouzounov et al., 2011; Pulinets and Ouzounov, 2011).

[Methods] In our studies, we use OLR data from the NASA satellite EOS Aqua/AIRS. ~~these~~ The Aqua/AIRS ~~is~~ data were provided by GES DAAC, and OLR measurements from NCEP/NOAA were obtained from NOAA. A daily mean global data base, with a spatial resolution of 1° by 1°, was used to study the OLR activity and variability in the region of four recent major earthquakes (Table 1).

-OLR was calculated at the TOA and has been used to study the Earth's radiation budget since it represents emissions from the Earth's surface, lower atmosphere and clouds, and is sensitive to near surface and cloud temperatures. Daily mean OLR values were calculated from these raw data using separate algorithms for each satellite. Observations from NOAA HIRS/OLR are based on the long wave flux estimation of Ellingson et al. (1989) and for the NASA Aqua/AIRS OLR on the multi-spectral long wave estimates from Mehta and Susskind (1999) and Susskind and Blaisdell (2008).

-The transitional OLR anomalous data usually varied between 15-19 W/m² they were a residual derived from the daily mean OLR and compared with the background field, defined by multiple years of observations over the same location, local time and normalized by the standard deviation (Ouzounov et al, 2007, 2011). We studied the daily variability of OLR in relation to the latest major earthquakes -M6.0 of Aug 24, 2014 in Napa, CA and M7.7 and 7.3 of April 24 and May 12, 2014 in Nepal (Table 1). From August 2nd to 4th preceding the Napa earthquake a large anomalous OLR transient field at the TOA over Northern California was detected. This anomalous signal was shifted ~~in~~ to the northeast and was the largest OLR transition anomaly over the US at this time (Ouzounov et al, 2014). The 2015 Nepal earthquake results show that in mid March 2015, a rapid ~~augment~~ increase of transient infrared radiation was observed from the satellite data, ~~and~~ with an anomaly near the epicenter that reached a maximum on April 21-22, three days before the M7.8 (Figs. 1 and 2). A continued analysis revealed another OLR transient anomaly on May 3-4 (8 days in advance), which was apparently associated with the M7.3 earthquake of May 12, 2015 (Ouzounov et al., 2015).

[Results] Our results show that processes related to infrared signals were observed by both NOAA/AVHRR and Aqua/AIRS satellite observations near the epicentral area several days before the earthquakes (Figs. 1 and 2). The OLR hot spot appeared quickly, stayed over the same region for

several hours, and then disappeared rapidly. The time lag for the M6.0 earthquake in California ~~is~~ was 20 days; for the Nepal event, the time lag was 2-8 days. This enhancement of OLR could be explained as a result of water vapor condensation on ions, with ~~the~~ a large amount of latent heat being released. The initial process involves an ionization of the near-ground layer from the increased concentration of gasses (including radon) emitted from active tectonic faults (Pulinets and Ouzounov, 2011). The transient nature in radiative emission preceding large earthquakes follows a general temporal-spatial evolution pattern, which has been seen in other large earthquakes worldwide (Kuo, 2011 and Ouzounov et al., 2016).

Conclusion(s) From space-born recording of atmospheric conditions, we have shown the consistent occurrence ~~presence~~ of radiative emission (OLR) anomalies at the TOA, ~~occurring~~ consistently over the region of maximum stress; and preceding large earthquakes. Due to their long duration, these anomalies do not appear to be of a meteorological origin. This analysis of atmospheric parameters for most recent major earthquake demonstrated the presence of correlated variations of transient OLR anomalies in the atmosphere, implying their connection with the earthquake preparation processes and suggesting the existence of an ~~atmosphere~~ atmospheric response triggered by the coupling processes between lithosphere and atmosphere.

Table 1. List of the earthquakes (USGS) studied. ~~Text mentioned four earthquakes (7)~~

	Name	Date (mm/dd/yyyy)	Geographic lat/lon (°)	Time (UTC)	M	H (km)
1	Napa Valley, California, US	08/24/2014	38.21 N/122.31 W	10:24:44	6.0	11.11
2	Gorkha, Nepal	04/24/2015	28.23 N/84.73 E	06:11:25	7.8	8.2
3	Kodari, Nepal	05/12/2015	27.80 N/86.06 E	07:05:19	7.3	15.0

Formatted: Highlight

Formatted: Highlight

Formatted: Highlight

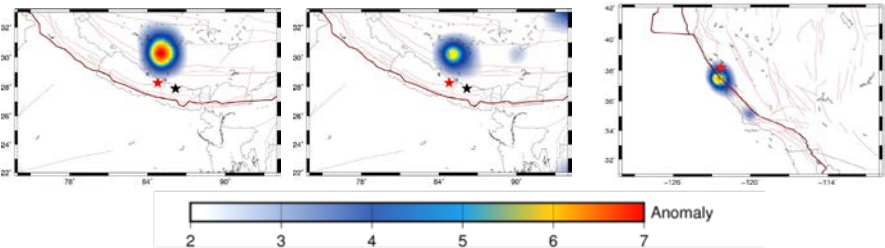


Figure 1. Pre-earthquake satellite maps of OLR observed a few days before the earthquakes listed shown in Table 1. (Left A): Nepal, April 21-22, 2015 (-2 days), 06.00 UTC (-2 days); (Middle B) Nepal, May 3-4, 2015, 00 UTC (-8 days); (Right C): California, August 1-3, 2014, 00 UTC (-21 days).

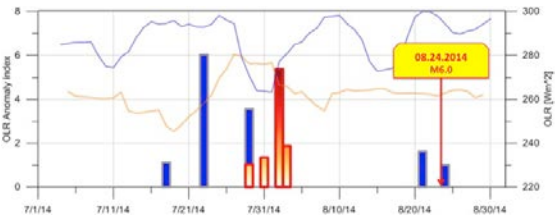


Figure 2. Time series of daily nighttime anomalous OLR for two months over the epicentral regions (box 1°x1°) observed from NOAA/AVHRR (red) and AQUA/AIRS (blue) for the three earthquakes listed shown in Table 1.



References

- Bryant, N., Zobrist, A., Logan, T., (2003) Automatic co-registration of space-based sensors for precision change detection and analysis. IGARSS 2003 Transactions. Toulouse France, 21–26 July.
- Cervone, G., Maekawa, S., Singh, R.P., Hayakawa, M., Kafatos, M., and Shvets, A., (2006) Surface latent heat flux and nighttime LF anomalies prior to the Mw=8.3 Tokachi-Oki earthquake, *Nat. Hazards Earth Syst.*
- Dey, S., Sarkar, S., Singh, R.P., (2004) Anomalous changes in column water vapor after Gujarat earthquake. *Advances in Space Research* 33 (3), 274–278
- Gruber, A. and Krueger, A., (1984) The status of the NOAA outgoing longwave radiation dataset. *Bulletin of the American Meteorological Society* ,65, 958–962
- Hayakawa, M (Editor), (2012) Frontier of Earthquake short-term prediction study, Nihon-Senmontosho, Japan, 794p
- Kuo, C.L., Huba, J.D., Joyce, G., Lee, L.C. (2011) Ionosphere plasma bubbles and density variations induced by pre-earthquake rock currents and associated surface charges. *Journal of Geophysical Research*, 116, 1029-1039
- Martinelli G, (1998) History of earthquake prediction researches, *Il NuovoCimento C* 22(3)
- Mehta, A., and J. Susskind, (1999) Outgoing Longwavelength Radiation from the TOVS Pathfinder Path A Data Set, *J. Geophys. Res.*, 104, NO.d10, 12193-12212.
- Ohring, G. and Gruber, A.: (1982) Satellite radiation observations and climate theory, *Advance in Geophysics.*, 25, 237–304, 1982.
- Ouzounov D., Liu, D., Kang, C., Cervone, G., Kafatos, M., Taylor, P. (2007) Outgoing Long Wave Radiation Variability from IR Satellite Data Prior to Major Earthquakes, *Tectonophysics*, 431, 211-220
- Ouzounov D. S. Pulnits, K. Hattori, M. Kafatos, P. Taylor (2011) Atmospheric Signals Associated with Major Earthquakes. A Multi-Sensor Approach, in the book “Frontier of Earthquake short-term prediction study”, M Hayakawa, (Ed), Japan, 510-531
- Ouzounov, D., M. Kafatos, L. Petrov, S. A. Pulnits, J. Y. Liu, Y. C. Su, and S. Chen (2014), Space and Ground observations of Pre-earthquake Anomalies. Prospective/Retrospective Testing for M6.0 August 24, 2014 South Napa, CA, AGU Fall Meeting Abstracts 4942
- Ouzounov, D., S. Pulnits, and D. Davidenko (2015) Revealing pre- earthquake signatures in atmosphere and ionosphere associated with 2015 M7.8 and M7.3 events in Nepal. Preliminary results, arXiv:1508.01805
- Ouzounov D, S. Pulnits, D. Davidenko, M. Hernández-Pajares, A. García-Rigo, L. Petrov, N. Hatzopoulos, M. Kafatos (2016) Pre-earthquake signatures in atmosphere/ionosphere and their potential for short-term earthquake forecasting. Case studies for 2015, *Geophysical Research Abstracts*, Vol. 18, EGU2016-3496, EGU Assembly

Pulinets, S. and D. Ouzounov(2011) Lithosphere-Atmosphere-Ionosphere Coupling (LAIC) model - An unified concept for earthquake precursors validation, *Journal of Asian Earth Sciences* 41, 371-382,

Susskind, J., and J. Blaisdell (2008), Improved surface parameter retrievals using AIRS/AMSU data, *Proc. SPIE Int. Soc. Opt. Eng.*, 6966, 696610, doi:10.1117/12.774759.

Tramutoli, V., Cuomo V, Filizzola C., Pergola N., Pietrapertosa, C. (2005) Assessing the potential of thermal infrared satellite surveys for monitoring seismically active areas. The case of Kocaeli (Izmit) earthquake, August 17th, 1999, *Remote Sensing of Environment*, 96, 409-426, 2005

Tramutoli V, R. Corrado , C. Filizzola , N. Genzano, M. Lisi, N. (2015) PergolaFrom visual comparison to Robust Satellite Techniques: 30 years of thermal infrared satellite data analyses for the study of earthquake preparation phases, *Bollettino di GeofisicaTeoricaedApplicata* Vol. 56, n. 2, pp. 167-202

Tronin, A., Hayakawa, M., and Molchanov, O. (2012) Thermal IR satellite data application for earthquake research in Japan and China, *J. Geodynamics*, 33, 519-534, 2002